

Authorization to Transfer Funds and/or Securities

LOA TYPE	NAME	PHONE#	TIME
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<i>(INTL FCStone Financial Inc. Use Only)</i>			

Account Information

Account Title

Account Number Purpose of Transfer - required for UTMA/UGMA, IRA, QRP accounts and FedWire

Assets Transferred

Funds Disbursement(s): One-Time Amount: \$ **OR** All cash and money market **OR** All Funds and Securities

Securities Disbursements(S): All Securities **OR** Listed below. If needed, attach additional Position Listings on a separate sheet.

No. of Shares	Security Description	Symbol or Cusip #	# of Shares	Security Description	Symbol or CUSIP #
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Distribution Instructions Delivery of shares is the only option available to IRA accounts using this form. The IRA Distribution Request form is also required.

Deliver Shares or Funds:

to Another INTL FCStone Account. Account Title Account Number

to Another Financial Firm.

Firm Name Delivery Instructions

Mail Check/Physical Certificate/DRS. *(A fee may apply)*

Payee/Recipient Account Number

Payee/Registrant's Address (include City, State & Zip Code) Registrant's SSN or Tax ID

Wire Federal Funds *(A fee may apply) (Domestic or Foreign)*

Bank Name ABA Routing # (Domestic)/Swift Code (Foreign)

Bank Address Bank City Bank State Bank Country (Foreign Only)

Name on Bank Account Account Number Account Type

Recipient Name Recipient Address (No PO Box or APO)

Special Instructions Further Credit (if applicable)

Client Signatures

The undersigned acknowledges that upon INTL FCStone Financial's acceptance of this instruction, the undersigned will relinquish all right, title and interest in and to the said money and/or securities being transferred and does hereby finally and irrevocably release and discharge INTL FCStone Financial Inc. of any and all claims by the undersigned or the undersigned's legal representative with reference thereto, including the proceeds of sale or other disposition.

Signature _____ Print Name _____ Date _____

Signature _____ Print Name _____ Date _____

Principal Attestation of Authenticity

Reason for Wire _____

With my signature below, I represent that the following are true regarding these client instructions and the respective client Letter of Authorization (LOA):

- The client instructions as described in this LOA have been reviewed and approved and are in full compliance with our firm's policies and procedures.
- Our firm understands that it is fully responsible for any loss caused by fraudulent disbursement instructions presented to INTL FCStone Financial for approval.
- The firm has verbally confirmed via outbound phone call with the account holder(s) or those authorized to act on their behalf that the LOA instructions are authentic and the signature(s) on the instructions is their own.
- I warrant I am either a senior compliance officer or a senior officer of my firm authorized to act on its behalf.

Title & Position _____ Date _____

Signature of _____ Print Name _____

Qualified Principal _____