

Privacy Policy

FACTS – What does Four Points Capital Partners do with your personal information?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some, but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include the following:

- Social Security number and income
- Date of birth
- Employment information
- Account balances, assets, and investment experience
- Risk tolerance and transaction history

How?

All financial companies need to share customers' personal information to conduct everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons FPCP chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does FPCP share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transaction(s), maintain your account(s), apply for insurance coverage, respond to court orders and legal investigations, report to credit bureaus, or as permitted by law.	YES	NO
For our marketing purposes – to offer our products and services to you	NO	We don't share
For joint marketing with other financial companies	NO	We don't share
For our affiliates' everyday business purposes – information about your transactions and experiences	NO	We don't share
For our affiliates' everyday business purposes – information about your creditworthiness	NO	We don't share
For non-affiliates to market to you	NO	We don't share

To limit our sharing

- Call Four Points Capital Partners, LLC at (212)257-5240
- E-mail our Chief Compliance Officer at jtooke@4pointscapital.com with "Limit Sharing" in the subject line.
- Visit us online at www.4pointscapital.com

Please note:

FPCP does not share client information with nonaffiliated third parties for marketing purposes
We never sell any client information to anyone for any reason.

Questions?

- Call Four Points Capital Partners, LLC at (212)257-5240

Who we are	
Who is providing this notice?	Four Points Capital Partners, LLC
What we do	
How does FPCP protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. We employ physical, electronic, and procedural safeguards for the protection of the personal information we collect about you.
How does FPCP collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> ▪ Open an account or seek advice about your investments ▪ Direct us to buy or sell securities <p>We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> ▪ Sharing for affiliates' everyday business purposes – information about your creditworthiness ▪ Sharing for affiliates to market to you ▪ Sharing for non-affiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing. See Other Important Information below for more on your rights under state law.</p>
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account unless you tell us otherwise.
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial or nonfinancial companies.
Non-Affiliates	<p>Companies not related by common ownership or control. They can be financial or nonfinancial companies, including</p> <ul style="list-style-type: none"> ▪ Investment advisor firms, mutual fund companies, and insurance companies
Joint Marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> ▪ FPCP does not jointly market with non-affiliates.
Other Important Information	<ul style="list-style-type: none"> ▪ For California residents, we will limit the sharing of your nonpublic personal information to the extent required by California law. ▪ For Vermont residents, we will limit the sharing of your nonpublic personal information to the extent required by Vermont law. ▪ For Nevada residents, which apply to accounts with Nevada mailing addresses, you may elect to be placed on our internal "do not call" list. If you would like to be placed on the list, please let us know by calling us toll free at (844) 944 – 4PTS (4787) or e-mail privacyoptout@4pointscapital.com with "Nevada Do Not Call" in the subject line. You may also contact the Nevada Attorney General's office: Bureau of Consumer Protection, Office of the Nevada Attorney General, 555 E. Washington St., Suite 3900, Las Vegas, NV 89101; telephone number: 1-702-486-3132; email BCPINFO@ag.state.nv.us. ▪ The firm will not use texting for general marketing campaigns, only for communication with established clients. The firm will use a verbal opt-in for text communication with the rep, and clients may opt-out by texting STOP to the rep and/or communicating their opt-out via email to kmartino@4pointscapital.com.