

Sale of Worthless Security Letter

To: INTL FCStone Financial Inc.

Re: Account Number: _____

Account Name: _____

Security Description: _____

Security Number or Cusip: _____

Quantity: _____

The undersigned hereby request that INTL FCStone Financial Inc. purchase the shares referenced above (the “shares”) for a total purchase price of one dollar (\$1.00), recognizing there is no current market for the shares and they are currently worthless.

The undersigned acknowledges and agrees that neither INTL FCStone Financial Inc. nor any of its employees have solicited this transaction, have made no representations regarding the tax consequences attendant to this transaction or the inherent value of the shares, and that any determinations relating to these issues will be the sole responsibility of the undersigned.

The undersigned further acknowledges and agrees that in selling the shares to INTL FCStone Financial Inc. all right and interest will be transferred to INTL FCStone Financial Inc. and any ability to reclaim the shares have been relinquished.

In consideration of INTL FCStone Financial Inc. purchasing the shares, the undersigned hereby indemnifies and holds INTL FCStone Financial Inc. harmless from and against any claims, liabilities, damages, or expenses asserted by the undersigned (or by the heirs, successors, assignees and administrators of the undersigned) in connection with the purchase of the shares by INTL FCStone Financial Inc.

Client Signature: _____ Date: _____

Printed Name: _____

(where applicable)

Joint Account Owner: _____ Date: _____

Printed Name: _____

Sale of Worthless Security Form

(THIS PAGE FOR INTERNAL USE ONLY)

To: Operations Trading Support

From: _____
 Name of Financial Advisor **Financial Advisor Signature**

Client Account Number: _____ a _____

Security Name: _____

Security Number, Symbol or Cusip: _____

Quantity: _____

In order to move a security to the Worthless Account, each security must meet certain eligibility criteria. Securities that are actively trading in the open market cannot be moved to the worthless account. Securities that have traded within the last 120 days cannot be moved to the worthless account. Please check the appropriate box below and attach any necessary documentation and forward to Operations for posting to the worthless account:

The security above has met the following criteria. Please move position from client account to the worthless account for \$1.00.

I have verified that the security above has not traded in the last 365 days. I have attached a printout from Bloomberg, ThomsonOne, eCustody or Yahoo Finance to document lack of trading. I am forwarding a copy of this letter signed by the Financial Advisor along with the Worthless Sale Letter signed by the client and the printout noted above to Operations Trading Support. I understand there is an exception to this requirement as noted below.

The security above has met the following criteria. Please see verification below from Trading Desk. Please move position from client account to the worthless account for \$1.00.

The security has traded within the last 120 to 365 days. However, I have contacted the IFCF Equity Trading Desk to verify there is no current active market for the above security. Please see verification below. I understand that once I obtain no bid verification below from IFCF Equity Trading I will forward a copy of this letter signed by the Financial Advisor along with the Worthless Sale Letter signed by the client to Operations Trading Support.

Trading Desk Use Only

BID Available Yes No

If yes, available at \$ _____ for a quantity of _____. Security is not eligible for worthless security processing.

Sign and return to Financial Advisor / Sales Assistant

By: _____
 Trading Desk

Date: _____