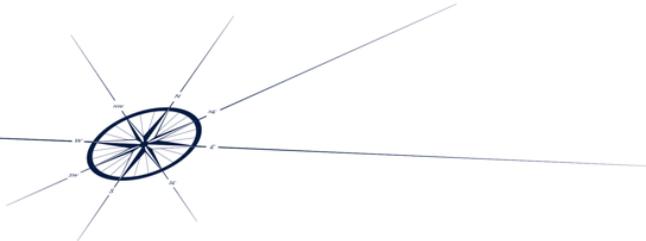


Form CRS Customer Relationship Summary 5/6/2020

<p>Introduction</p>	<p>Four Points Capital Partners (FPCP) is registered with the Securities and Exchange Commission (SEC) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). Brokerage and investment advisory services and fees differ and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.</p>
<p>What investment services and advice can you provide me?</p>	<p>We offer brokerage services to retail investors, including buying and selling securities. While we offer research and recommendations with respect to investment products, you make the ultimate decision regarding the purchase or sale of investments. We offer our services to such retail investors who have a minimum of \$10,000 in liquid assets for investment. FPCP does not require a minimum account size. We do not hold custody of client assets, and may accept limited (time/price) discretionary authority. FPCP also offers Private Placements and Reg D offerings to high net worth individuals, accredited investors, qualified purchasers, and institutions. In addition, FPCP also offers Investment Banking such as but not limited to mergers & acquisitions, equity and debt financing, sports advisory etc.</p> <p>For additional information, please see Regulation Best Interest Disclosures and other applicable documents under the disclosure section on our website [https://www.4pointscapital.com/].</p> <p>Questions to ask your Broker:</p> <ul style="list-style-type: none"> - Given my financial situation, should I choose a brokerage service? Why or why not? - How will you choose investments to recommend to me? - What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?
<p>What fees will I pay?</p>	<p>FPCP charges from 0% to 5% for brokerage services such as the buying and selling of securities. Other products, such as mutual funds, UITs, and variable annuities, may have a different fee schedule and are disclosed in the prospectus. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. There is a fee charged for each transaction. As such the more you make, the more your fees will add up, further a potential conflict exists in that we have incentive for you to engage in transactions. FPCP charges up to 10% for Investment Banking services. Please make sure you understand what fees and costs you are paying.</p> <p>For additional information, please see Regulation Best Interest Disclosures and other applicable documents under the disclosure section on our website [https://www.4pointscapital.com/].</p> <p>Questions to ask your Broker:</p> <ul style="list-style-type: none"> -Help me understand how these fees and costs might affect my investments. - If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me? <p>Our fees vary and are negotiable. The amount you pay will depend, for example, on how much you buy or sell, what type of investment you buy or sell, and what kind of account you have with us. Other additional fees may be charged to your account, such as custodian fees, account inactivity fees.</p>

FOUR POINTS CAPITAL PARTNERS

Member FINRA/SIPC



<p>What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have</p>	<p>When we provide you with a recommendation, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendation(s) we provide you.</p> <p>Questions to ask your broker: How might your conflicts of interest affect me, and how will you address them? For additional information, please see Regulation Best Interest Disclosures and other applicable documents under the disclosure section of our website [https://www.4pointscapital.com/].</p>
<p>How do your financial professionals make money</p>	<p>Investment professionals are compensated monthly by receiving an agreed upon percentage of the sales commissions they generate in that particular month. In some less frequent instances the investment professional may receive non-cash compensation such as warrants etc. FPCP may compensate investment professionals with a discretionary bonus based on certain criteria such as employee performance, merit, customer service etc. Professionals may receive compensation for earning new client business or expanding current relationships in the form of discretionary bonuses. Investment Banking Professionals are compensated in a similar manner by receiving an agreed upon percentage of the success fees generated from the particular Investment Banking transaction.</p>
<p>Do you or your financial professionals have legal or disciplinary history?</p>	<p>Yes, we have legal and disciplinary events. For information regarding discipline history please visit: Investor.gov/CRS and/or https://brokercheck.finra.org/ for a free and simple search tool to research FPCP and any of our financial professionals.</p> <p>Questions to ask your Broker: As a financial professional, do you have any disciplinary history? For what type of conduct?</p>
<p>Additional Information</p>	<p>For additional information about our services, visit our website [https://www.4pointscapital.com/], or contact our CEO/Chief Compliance Officer, Michael Martino, at 212-257-5240 or mmartino@4pointscapital.com. If you would like additional, up-to-date information or a copy of this disclosure, please call 212-257-5240.</p> <p>Questions to Ask your Broker:</p> <ul style="list-style-type: none"> - Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? - Who can I talk to if I have concerns about how this person is treating me and my investments?