

# SCHEDULE OF ACCOUNT FEES

<b>SERVICE FEES</b>	<b>Standard Fee</b>	<b>CASH MANAGEMENT FEES</b>	<b>Standard Fee</b>
Paper Delivery Surcharge for Client Confirms <sup>1</sup>	0.75	Cash Debits	Standard Margin Rates
Paper Delivery Surcharge for Client Statements	2.50	Federal Reserve Wire Transfer	35.00
ACAT Processing Transfer Out	75.00	International Wire Transfer	50.00
Copies of Monthly Statements / Confirms	10.00 per month	Non-Sufficient Funds (per item)	40.00
Foreign Security Custody Fee (per position per month)	5.00	Paid CMA Overdraft (per item)	35.00
DRS Reject	30 + pass-through of TA & DTC fees	Pre-Payment	35.00 + Interest
DRS Transfer	100.00 + pass-through of TA & DTC fees	Returned CMA Check (per item)	40.00
DTC / I Government / DWAC Delivery (per delivery)	30 + pass-through of TA & DTC fees	Rush CMA Checks	75.00
Other DTC Items (e.g., reorg, etc.)	30 + pass-through of TA & DTC fees	Check Request (per check)	10.00
Interested Party Confirm (per confirm)	3.25	ACH to Third Party (per transfer)	10.00
Foreign Security Delivery (per delivery)	100.00	Stop Payment	30.00
Inactive Account Fee (annually per account) <sup>2</sup>	75.00		
Option Exercise / Assignment Surcharge	25.00	<b>IRA FEES</b>	
Overnight Delivery Package	25.00	Annual Fees (not prorated)	50.00
Overnight Delivery Package (Saturday delivery)	35.00	Private Placement Security – Processing Fee (1-time per investment)	200.00
Pledge Account Setup Fee	100.00	Private Placement Security - Custody Fee (per investment per year)	100.00
Pledge Account Annual Fee	50.00	Profit Sharing Plan Fee	65.00
Margin and COD Extensions	10.00	Termination Fee	100.00
Rights / Warrants Subscription	75.00	Termination and Transfer	150.00
Corporate Actions	50.00		
Alternative Investments (per month per security)	10.00	<b>TRANSFER ON DEATH (TOD) FEES</b>	
Four Points Capital Partners Fixed Transaction Commission <sup>3</sup>	75.00*	Establishment	50.00
		Change of Beneficiary	25.00
<b>PHYSICAL SECURITY FEES</b>		Termination	100.00
Accommodation Transfer (per certificate)	100.00	Transfer to Beneficiary	200.00
Bond Redemption / Conversions (physical certificates)	100.00		
Employee Stock Option Processing	50.00		
Foreign Physical Certificate Receive / Deliver	250.00		
Legal Transfer (per transfer)	50.00 + pass-through of TA & DTC fees		
Restricted Security Clearance (per delivery)	100.00 + pass-through of TA & DTC fees		
Return Deposit of Certificates (DTC)	150.00		
Vault / Safekeeping (per certificate per month)	5.00		
Transfer & Ship (per transfer per certificate)	600.00 + pass-through of TA & DTC fees		
Rush Transfer & Ship (per transfer per certificate)	750.00 + pass-through of TA & DTC fees		
Precious Metal Safekeeping Fee	50 bps per annum charged quarterly min. \$5/Qtr)		

<sup>1</sup> Clearing Firm reserves the right to adjust the surcharge based upon changes in postal, paper, vendor or other cost factors. The paper delivery surcharge for confirmations is accessed on a per trade basis and billed as incurred.

<sup>2</sup> Inactive accounts are defined as accounts holding a security position for a calendar year (January 1 - December 31) without generating a) a trade or b) a free credit or margin interest of at least \$100. Mutual fund systematic reinvestments and exchanges will be considered a trade. Dividend reinvestment activity is not considered a trade. Inactive fees do not apply to retirement accounts where Clearing Firm acts as custodian or servicing agent and collects service fees. Accounts exclusively holding book entry only fixed income positions or an aggregate Fund Vest position of \$10,000 or more are exempt from this fee. Clearing Firm reserves the right to charge this fee on a pro data basis for accounts that terminate their relationship with Clearing Firm and upon termination of the Fully Disclosed Clearing Agreement for any reason by either party.

<sup>3</sup> Commissions- Four Points Capital Partners LLC Adheres to the Finra Guidelines regarding commissions although it does have a minimum commission of \* 45.00 per transaction regardless of the dollar amount plus a fixed transaction commission of \*75.00 for each transaction. The fixed transaction commission is an additional source of revenue to the firm, no portion of which is shared with your registered representative.

\* Firm retains the right to use discretion.